Billing Code 4154-01

DEPARTMENT OF HEALTH AND HUMAN SERVICES

Administration for Community Living

Applications for New Awards; National Institute on Disability, Independent Living, and Rehabilitation Research--Research Fellowships Program

AGENCY: Administration for Community Living, HHS

ACTION: Notice.

SUMMARY: Overview Information:

National Institute on Disability, Independent Living, and Rehabilitation Research (NIDILRR) -- Research Fellowships

Program

Notice inviting applications for new awards for fiscal year (FY) 2015.

Catalog of Federal Domestic Assistance (CFDA) Number: 84.133F-1.

DATES: Applications Available: [INSERT DATE OF PUBLICATION IN THE FEDERAL REGISTER].

SUPPLEMENTARY INFORMATION:

Note: On July 22, 2014, President Obama signed the Workforce Innovation Opportunity Act (WIOA). WIOA was effective immediately. One provision of WIOA transferred the National Institute on Disability and Rehabilitation Research (NIDRR) from the Department of Education to the Administration for Community Living (ACL) in the Department of Health and Human Services. In addition, NIDRR's name was changed to the Institute on Disability, Independent Living, and Rehabilitation Research (NIDILRR). For FY 2015, all NIDILRR priority notices will be published as ACL notices, and ACL will make all NIDILRR awards. During this transition period, however, NIDILRR will continue to review grant applications using Department of Education tools. NIDILRR will post previously-approved application kits to grants.gov, and NIDILRR applications submitted to grants.gov will be forwarded to the Department of Education's G-5 system for peer review. We are using Department of Education application kits and peer review systems during this transition year in order to provide for a smooth and orderly process for our applicants. Date of Pre-Application Meeting: [INSERT DATE 21 DAYS AFTER DATE OF PUBLICATION IN THE FEDERAL REGISTER]. Deadline for Transmittal of Applications: [INSERT DATE 60 DAYS AFTER DATE OF PUBLICATION IN THE FEDERAL REGISTER].

#### Full Text of Announcement

#### I. Funding Opportunity Description

Purpose of Program: The purpose of the Research

Fellowships Program is to build research capacity by

providing support to highly qualified individuals,

including those who are individuals with disabilities, to

conduct research on the rehabilitation of individuals with

disabilities.

Fellows must conduct original research in an area authorized by section 204 of the Rehabilitation Act of 1973, as amended. Section 204 authorizes research, demonstration projects, training, and related activities, the purposes of which are to develop methods, procedures, and rehabilitation technology that maximize the full inclusion and integration into society, employment, independent living, family support, and economic and social self-sufficiency of individuals with disabilities, especially individuals with the most severe disabilities, and to improve the effectiveness of services authorized under the Act.

Note: An applicant should consult NIDRR's Long-Range Plan for Fiscal Years 2013-2017 (78 FR 20299) (the Plan) when preparing its application. The Plan is organized around the following outcome domains: (1) community living and

participation; (2) health and function; and (3) employment and can be accessed on the Internet at the following site: www.ed.gov/about/offices/list/osers/nidrr/policy.html Priority:

The Research Fellowships Program permits two types of fellowships, Distinguished and Merit. Under the Research Fellowships competition, we are particularly interested in applications that address the following program priority.

Invitational Priority: For FY 2015, this priority is an invitational priority. Under 34 CFR 75.105(c)(1) we do not give an application that meets this invitational priority a competitive or absolute preference over other applications.

This priority is:

The Administrator of the Administration for Community
Living is particularly interested in applications from
eligible applicants who are individuals with disabilities.
Program Authority: 29 U.S.C. 762(e).

Applicable Regulations: (a) The Department of Health and Human Services General Administrative Regulations in 45 CFR part 75 (b) 45 CFR part 75 Non-procurement Debarment and Suspension; (c) 45 CFR part 75 Requirement for Drug-Free Workplace (Financial Assistance); (d) The regulations for this program in 34 CFR part 356, 350.51 and 350.52.

# II. Award Information

Type of Award: Discretionary grants.

Estimated Available Funds: \$430,000.

Contingent upon the availability of funds and the quality of applications, we may make additional awards in FY 2015 and any subsequent year from the list of unfunded applicants from these competitions.

Estimated Range of Awards: \$69,500 to \$70,000 for Merit Fellowships and \$79,500 to \$80,000 for Distinguished Fellowships. (These fellowships are described in the Eligible Applicant section of this notice.)

Estimated Average Size of Awards: \$75,000

<u>Maximum Award</u>: We will reject any application that proposes a budget exceeding \$70,000 for Merit Fellowships and \$80,000 for Distinguished Fellowships for a single year. The Administrator of Community Living may change the maximum amount through a notice published in the Federal Register.

Estimated Number of Awards: Six.

Note: The Department is not bound by any estimates in this notice.

<u>Project Period</u>: 12 months. We will reject any application that proposes a project period other than 12 months. The Administrator of the Administration for Community Living

may change the maximum project period through a notice published in the Federal Register.

## III. Eligibility Information

1. Eligible Applicants: Eligible individuals must:

(1) satisfy the requirements of 45 CFR part 75 and (2) have training and experience that indicate a potential for engaging in scientific research related to the solution of rehabilitation problems of individuals with disabilities.

The program provides two categories of research fellowships: Merit Fellowships and Distinguished Fellowships.

- (a) To be eligible for a Merit Fellowship, an individual must be in the earlier stages of his or her career in research and have either advanced professional training or experience in independent study in an area which is directly pertinent to disability and rehabilitation.
- (b) To be eligible for a Distinguished Fellowship, an individual must have seven or more years of research experience in subject areas, methods, or techniques relevant to rehabilitation research and must have a doctorate, other terminal degree, or comparable academic qualifications.

Note: Institutions are not eligible to be recipients of research fellowships.

Applicants must submit an eligibility statement describing how they meet the requirements for one of the two eligibility classifications allowed under this program.

- 2. <u>Cost Sharing or Matching</u>: This program does not require cost sharing or matching.
- IV. Application and Submission Information
- 1. Address to Request Application Package: You can obtain an application package via grants.gov, or by contacting Patricia Barrett: U.S. Department of Health and Human Services, 400 Maryland Avenue, SW., room 5142, PCP, Washington, DC 20202-2700. Telephone: (202) 245-6211 or by email: patricia.barrett@ed.gov.

If you request an application from Patricia Barrett, be sure to identify this competition as follows: CFDA number 84.133F.

2. Content and Form of Application Submission:

Requirements concerning the content of an application,
together with the forms you must submit, are in the
application package for this competition.

Page Limit: The application narrative is where you, the applicant, address the selection criteria that reviewers use to evaluate your application. You must limit the

application narrative to the equivalent of no more than 24 pages, using the following standards:

- A "page" is 8.5" x 11", on one side only, with 1" margins at the top, bottom, and both sides.
- Double space (no more than three lines per vertical inch) all text in the application narrative. You are not required to double space titles, headings, footnotes, references, and captions, or text in charts, tables, figures, and graphs.
- Use a font that is either 12 point or larger or no smaller than 10 pitch (characters per inch).
- Use one of the following fonts: Times New Roman, Courier, Courier New, or Arial.

The page limit for the application narrative does not apply to the documents you upload to the Grants.gov Apply site under the other two headings: ED Project Abstract and Other Attachments. The ED Project Abstract Form should contain only your one-page abstract. The Other Attachments Form should contain all other attachments, including your bibliography, resume/curriculum vitae, letters of recommendation/support, eligibility statement, and plan for spending one FTE on the Fellowship project. Information regarding the protection of human subjects, if applicable, should be included under the Other Attachments Form or in

the place provided on the SF-424 Supplemental Form. You do not need to upload a table of contents for your application, as this will be automatically generated by Grants.gov.

We will reject your application if you exceed the page limit.

Note: Please submit an appendix that lists every collaborating organization and individual named in the application, including staff, consultants, contractors, and advisory board members. We will use this information to help us screen for conflicts of interest with our reviewers.

In concert with the balance principle described in NIDRR's Long-Range Plan, for Fiscal Years 2013-2017 (78 FR 20299), applicants for these Fellowships should specify in their abstract and application narrative which of NIDRR's major domains of individual well-being their research will focus on: (a) community living and participation, (b) employment, or (c) health and function.

# 3. Submission Dates and Times:

Applications Available: [INSERT DATE OF PUBLICATION IN THE FEDERAL REGISTER].

Date of Pre-Application Meeting: Interested parties are invited to participate in a pre-application meeting and to

receive information and technical assistance through individual consultation with NIDILRR staff. The preapplication meeting will be held on [INSERT DATE 21 DAYS AFTER DATE OF PUBLICATION IN THE FEDERAL REGISTER]. Interested parties may participate in this meeting by conference call with NIDILRR staff from the Administration for Community Living between 1:00 p.m. and 3:00 p.m., Washington, DC time. NIDILRR staff also will be available from 3:30 p.m. to 4:30 p.m., Washington, DC time, on the same day, by telephone, to provide information and technical assistance through individual consultation. further information or to make arrangements to participate in the meeting via conference call or to arrange for an individual consultation, contact Carolyn Baron at Carolyn.Baron@ed.gov, or by telephone at 202-245-7244. Deadline for Transmittal of Applications: [INSERT DATE 60 DAYS AFTER DATE OF PUBLICATION IN THE FEDERAL REGISTER].

Applications for grants under this competition must be submitted electronically using the Grants.gov Apply site (Grants.gov). For information (including dates and times) about how to submit your application electronically, or in paper format by mail if you qualify for an exception to the electronic submission requirement, please refer to section IV. 7. Other Submission Requirements of this notice.

We do not consider an application that does not comply with the deadline requirements.

Individuals with disabilities who need an accommodation or auxiliary aid in connection with the application process should contact the person listed under <a href="#">For Further Information Contact</a> in section VII of this notice. If the Department provides an accommodation or auxiliary aid to an individual with a disability in connection with the application process, the individual's application remains subject to all other requirements and limitations in this notice.

- 4. <u>Intergovernmental Review</u>: This competition is not subject to Executive Order 12372.
- 5. <u>Funding Restrictions</u>: Applicants are not required to submit a budget with their proposal.

Fellowship awards are a one full-time equivalent (FTE) award. Fellows must work principally on the fellowship during the term of the fellowship award. No fellow is allowed to be a direct recipient of Federal government grant funds in addition to those provided by the Fellowship grant (during the duration of the fellowship award performance period). Fellows may, subject to compliance with their institution's policy on additional employment, be the principal investigator of or otherwise work on a

Federal grant that has been awarded to the fellow's institution. Fellows may be allowed to dedicate additional time beyond their one FTE requirement for the fellowship to other work during their fellowship grant performance period, if this is in keeping with the guidelines offered by their home institutions. In other words, NIDILRR defers to the guidelines of the fellows' home institutions regarding the admissibility of work in excess of the one FTE dedicated to the fellowship. NIDILRR strongly recommends that any additional time be limited to .25 FTE, but requires that additional time not exceed .5 FTE.

Applicants should submit a plan for how they will meet the one FTE requirement. We reference regulations outlining funding restrictions in the <a href="Applicable">Applicable</a>
Regulations section of this notice.

# 6. Requirements for Registering for Grants.gov and Submitting Your Application:

All individuals applying for a research fellowship must register at www.Grants.gov prior to submitting their application. To register with Grants.gov you must know the Funding Opportunity Number (FON) of the grant opportunity you are applying for. You can obtain this number by searching Grants.gov using the CFDA number, 84.133. This search will lead you to available NIDILRR solicitations and identify the

FON for each. You will use the FON to register in Grants.gov. Once you register with Grants.gov, to facilitate the safe and secure transfer of your application to the Department, you will be asked to create a profile with your username and password, which will be used to identify you within the system, and create an electronic signature. Details on registering with Grants.gov as an individual are outlined in the following Grants.gov tutorial:

# www.grants.gov/assets/IndividualRegistrationOverview.html.

To register with Grants.gov, you do not have to provide a Data Universal Numbering System Number, a Taxpayer Identification Number, or your Social Security Number (SSN). You also do not have to complete a Central Contractor Registry or System for Award Management registration in order to access Grants.gov or submit your application.

However, your SSN is required to complete your application for a research fellowship.

- 7. Other Submission Requirements: Applications for grants under this competition must be submitted electronically unless you qualify for an exception to this requirement in accordance with the instructions in this section.
  - a. Electronic Submission of Applications.

Applications for grants under the Research Fellowships
Program, CFDA Number 84.133F-1, must be submitted
electronically using the Governmentwide Grants.gov Apply
site at www.Grants.gov. Through this site, you will be
able to download a copy of the application package,
complete it offline, and then upload and submit your
application. You may not email an electronic copy of a
grant application to us.

We will reject your application if you submit it in paper format unless, as described elsewhere in this section, you qualify for one of the exceptions to the electronic submission requirement and submit, no later than two weeks before the application deadline date, a written statement to the Department that you qualify for one of these exceptions. Further information regarding calculation of the date that is two weeks before the application deadline date is provided later in this section under Exception to Electronic Submission Requirement.

You may access the electronic grant application for the Research Fellowships Program at www.Grants.gov. You must search for the downloadable application package for this competition by the CFDA number. Do not include the CFDA number's alpha suffix in your search (e.g., search for 84.133, not 84.133F).

Please note the following:

- When you enter the Grants.gov site, you will find information about submitting an application electronically through the site, as well as the hours of operation.
- Applications received by Grants.gov are date and time stamped. Your application must be fully uploaded and submitted and must be date and time stamped by the Grants.gov system no later than 4:30:00 p.m., Washington, DC time, on the application deadline date. Except as otherwise noted in this section, we will not accept your application if it is received—that is, date and time stamped by the Grants.gov system—after 4:30:00 p.m., Washington, DC time, on the application deadline date. We do not consider an application that does not comply with the deadline requirements. When we retrieve your application from Grants.gov, we will notify you if we are rejecting your application because it was date and time stamped by the Grants.gov system after 4:30:00 p.m., Washington, DC time, on the application deadline date.
- The amount of time it can take to upload an application will vary depending on a variety of factors, including the size of the application and the speed of your Internet connection. Therefore, we strongly recommend that

you do not wait until the application deadline date to begin the submission process through Grants.gov.

- You should review and follow the Education

  Submission Procedures for submitting an application through

  Grants.gov that are included in the application package for

  this program [competition] to ensure that you submit your

  application in a timely manner to the Grants.gov system.

  You can also find the Education Submission Procedures

  pertaining to Grants.gov under News and Events on the

  Department's G5 system home page at http://www.G5.gov.
- You will not receive additional point value because you submit your application in electronic format, nor will we penalize you if you qualify for an exception to the electronic submission requirement, as described elsewhere in this section, and submit your application in paper format.
- You must submit all documents electronically, including all information you typically provide on the following forms: the Application for Federal Assistance (SF 424), the Department of Education Supplemental Information for SF 424, and all necessary assurances and certifications.
- You must upload any narrative sections and all other attachments to your application as files in a PDF

(Portable Document) read-only, non-modifiable format. Do not upload an interactive or fillable PDF file. If you upload a file type other than a read-only, non-modifiable PDF or submit a password-protected file, we will not review that material. Additional, detailed information on how to attach files is in the application instructions.

- Your electronic application must comply with any page-limit requirements described in this notice.
- After you electronically submit your application, you will receive from Grants.gov an automatic notification of receipt that contains a Grants.gov tracking number.

  (This notification indicates receipt by Grants.gov only, not receipt by the Department.) The Department then will retrieve your application from Grants.gov and send a second notification to you by email. This second notification indicates that the Department has received your application and has assigned your application a PR/Award number (an EDspecified identifying number unique to your application).
- We may request that you provide us original signatures on forms at a later date.

Application Deadline Date Extension in Case of Technical

Issues with the Grants.gov System: If you are experiencing problems submitting your application through Grants.gov, please contact the Grants.gov Support Desk, toll free, at

1-800-518-4726. You must obtain a Grants.gov Support Desk Case Number and must keep a record of it.

If you are prevented from electronically submitting your application on the application deadline date because of technical problems with the Grants.gov system, we will grant you an extension until 4:30:00 p.m., Washington, DC time, the following business day to enable you to transmit your application electronically. You also may mail your application by following the mailing instructions described elsewhere in this notice.

If you submit an application after 4:30:00 p.m.,

Washington, DC time, on the application deadline date,

please contact the person listed under For Further

Information Contact in section VII of this notice and

provide an explanation of the technical problem you

experienced with Grants.gov, along with the Grants.gov

Support Desk Case Number. We will accept your application

if we can confirm that a technical problem occurred with

the Grants.gov system and that the problem affected your

ability to submit your application by 4:30:00 p.m.,

Washington, DC time, on the application deadline date. The

Department will contact you after a determination is made

on whether your application will be accepted.

Note: The extensions to which we refer in this section apply only to the unavailability of, or technical problems with, the Grants.gov system. We will not grant you an extension if you failed to fully register to submit your application to Grants.gov before the application deadline date and time or if the technical problem you experienced is unrelated to the Grants.gov system.

Exception to Electronic Submission Requirement: You qualify for an exception to the electronic submission requirement, and may submit your application in paper format, if you are unable to submit an application through the Grants.gov system because--

- You do not have access to the Internet; or
- You do not have the capacity to upload large documents to the Grants.gov system;

#### and

• No later than two weeks before the application deadline date (14 calendar days or, if the fourteenth calendar day before the application deadline date falls on a Federal holiday, the next business day following the Federal holiday), you mail or fax a written statement to the Department, explaining which of the two grounds for an exception prevents you from using the Internet to submit your application.

If you mail your written statement to the Department, it must be postmarked no later than two weeks before the application deadline date. If you fax your written statement to the Department, we must receive the faxed statement no later than two weeks before the application deadline date.

Address and mail or fax your statement to: Patricia Barrett, U.S. Department of Education, 400 Maryland Avenue, SW., room 5142, Potomac Center Plaza (PCP), Washington, DC 20202-2700. FAX: (202) 245-7323.

Your paper application must be submitted in accordance with the mail instructions described in this notice.

### b. Submission of Paper Applications by Mail.

If you qualify for an exception to the electronic submission requirement, you may mail (through the U.S. Postal Service or a commercial carrier) your application to the Department. You must mail the original and two copies of your application, on or before the application deadline date, to the Department at the following address:

U.S. Department of Education Application Control Center Attention: (CFDA Number 84.133F-1) LBJ Basement Level 1 400 Maryland Avenue, SW. Washington, DC 20202-4260 You must show proof of mailing consisting of one of the following:

- (1) A legibly dated U.S. Postal Service postmark.
- (2) A legible mail receipt with the date of mailing stamped by the U.S. Postal Service.
- (3) A dated shipping label, invoice, or receipt from a commercial carrier.
- (4) Any other proof of mailing acceptable to the Administrator of the Administration for Community Living of the U.S. Department of Health and Human Services.

If you mail your application through the U.S. Postal Service, we do not accept either of the following as proof of mailing:

- (1) A private metered postmark.
- (2) A mail receipt that is not dated by the U.S. Postal Service.

If your application is postmarked after the application deadline date, we will not consider your application.

Note: The U.S. Postal Service does not uniformly provide a dated postmark. Before relying on this method, you should check with your local post office.

Note for Mail of Paper Applications: If you mail your application to the Department--

- (1) You must indicate on the envelope and—if not provided by the Department—in Item 11 of the SF 424 the CFDA number, including suffix letter, if any, of the program under which you are submitting your application; and
- (2) The Application Control Center will mail to you a notification of receipt of your grant application. If you do not receive this notification within 15 business days from the application deadline date, you should call the U.S. Department of Education Application Control Center at (202) 245-6288.

## V. Application Review Information

- 1. <u>Selection Criteria</u>: The selection criteria for this competition are from 34 CFR 356.30 through 356.32 and are listed in the application package.
- 2. Review and Selection Process: Final award decisions will be made by the Administrator, ACL. In making these decisions, the Administrator will take into consideration: ranking of the review panel; reviews for programmatic and grants management compliance; the reasonableness of the estimated cost to the government considering the available funding and anticipated results; and the likelihood that the proposed project will result in

the benefits expected. Under Section 75.205, item (3) history of performance is an item that is reviewed.

In addition, in making a competitive grant award, the Administrator of the Administration for Community Living requires various assurances including those applicable to Federal civil rights laws that prohibit discrimination in programs or activities receiving Federal financial assistance from the Department of Health and Human Services.

3. Special Conditions: Under 45 CFR part 75 the Administrator of the Administration for Community Living may impose special conditions on a grant if the applicant or grantee is not financially stable; has a history of unsatisfactory performance; has a financial or other management system that does not meet the standards in 45 CFR part 75, as applicable; has not fulfilled the conditions of a prior grant; or is otherwise not responsible.

### VI. Award Administration Information

1. Award Notices: If your application is successful, we send you a Notice of Award (NOA); or we may send you an email containing a link to access an electronic version of your NOA. We may notify you informally, also.

If your application is not evaluated or not selected for funding, we notify you.

2. Administrative and National Policy Requirements:
We identify administrative and national policy requirements
in the application package and reference these and other
requirements in the Applicable Regulations section of this
notice.

We reference the regulations outlining the terms and conditions of an award in the <u>Applicable Regulations</u> section of this notice and include these and other specific conditions in the NOA. The NOA also incorporates your approved application as part of your binding commitments under the grant.

- 3. Reporting: (a) If you apply for a grant under this competition, you must ensure that you have in place the necessary processes and systems to comply with the reporting requirements in 45 CFR part 75 should you receive funding under the competition. This does not apply if you have an exception under 45 CFR part 75.
- (b) At the end of your project period, you must submit a final performance report, including summary financial information, as directed by the Administrator of the Administration for Community Living in 45 CFR part 75. The Administrator of the Administration for Community

Living may also require more frequent performance reports under 45 CFR part 75. For specific requirements on reporting, please go to

www.ed.gov/fund/grant/apply/appforms/appforms.html.

- 4. Performance Measures: To evaluate the overall success of its research program, NIDILRR assesses the quality of its funded projects through a review of grantee performance and accomplishments. Each year, NIDILRR examines a portion of its grantees to determine the extent to which grantees are conducting high-quality research and related activities that lead to high-quality products.

  Performance measures for the Research Fellowships Program include:
- The number of NIDILRR-supported fellows, postdoctoral trainees, and doctoral students who publish results of NIDILRR-sponsored research in refereed journals;
- The percentage of grantee research and development that has appropriate study design, meets rigorous standards of scientific and/or engineering methods, and builds on and contributes to knowledge in the field; and
- The average number of publications per award based on NIDILRR-funded research and development activities in refereed journals.

NIDILRR evaluates the overall success of individual research and development grants through a review of grantee performance and products. For these reviews, NIDILRR uses information submitted by grantees as part of their final performance report. Approved final performance report guidelines require grantees to submit information regarding research methods, results, outputs, and outcomes. Because grants made under the Research Fellowships Program are limited to a maximum of 12 months, they are not eligible for continuation awards.

VII. Agency Contact

For Further Information Contact: Patricia Barrett, U.S. Department of Health and Human Services, 400 Maryland Avenue, SW., room 5142, PCP, Washington, DC 20202-2700. Telephone: (202) 245-6211 or by email: patricia.barrett@ed.gov.

If you use a TDD or a TTY, call the Federal Relay Service (FRS), toll free, at 1-800-877-8339.

VIII. Other Information

Electronic Access to This Document: The official version of this document is the document published in the <u>Federal</u>

Register. Free Internet access to the official edition of the <u>Federal Register</u> and the Code of Federal Regulations is available via the Federal Digital System at:

www.gpo.gov/fdsys. At this site you can view this
document, as well as all other documents of this Department
published in the <u>Federal Register</u>, in text or Adobe
Portable Document Format (PDF). To use PDF you must have
Adobe Acrobat Reader, which is available free at the site.

You may also access documents of the Department published in the <u>Federal Register</u> by using the article search feature at: www.federalregister.gov. Specifically, through the advanced search feature at this site, you can limit your search to documents published by the Department.

Dated: March 11, 2015. John Tschida, Director, National Institute on Disability, Independent Living, and Rehabilitation Research.

[FR Doc. 2015-05948 Filed:

3/13/2015 08:45 am; Publication

Date: 3/16/2015]